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PTO/SB/05 (12/97)

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JC712 U.S. PTO

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JC575 U.S. PTO  
09/436092  
11/08/99

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 043290.P3955D

Total Pages 5

First Named Inventor or Application Identifier Ebrahim Andideh et al.

Express Mail Label No. EL388636027US

ADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231**

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Specification (Total Pages 28)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  Drawings(s) (35 USC 113) (Total Sheets 5)
4.  Oath or Declaration (Total Pages 5)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (Note Box 5 below)
  - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (Appendix)

09165002-A-0000

7.	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)		
	a.	Computer Readable Copy	
	b.	Paper Copy (identical to computer copy)	
	c.	Statement verifying identity of above copies	
<b>ACCOMPANYING APPLICATION PARTS</b>			
8.	Assignment Papers (cover sheet & documents(s))		
9.	<input type="checkbox"/> a. 37 CFR 3.73(b) Statement (where there is an assignee) <input type="checkbox"/> b. Power of Attorney		
10.	English Translation Document (if applicable)		
11.	<input checked="" type="checkbox"/> a. Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> b. Copies of IDS Citations		
12.	<input checked="" type="checkbox"/> Preliminary Amendment		
13.	<input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		
14.	<input type="checkbox"/> a. Small Entity Statement(s) <input type="checkbox"/> b. Statement filed in prior application, Status still proper and desired		
15.	Certified Copy of Priority Document(s) (if foreign priority is claimed)		
16.	<input checked="" type="checkbox"/> Other: <u>postcard with Express Mail label</u> <hr/> <hr/> <hr/>		
17.	If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:		
	<input type="checkbox"/> Continuation	<input checked="" type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)
	of prior application No: <u>08/997,293 Filed December 23, 1997</u>		
18.	<b>Correspondence Address</b>		
	<input type="checkbox"/> Customer Number or Bar Code Label <span style="float: right;">(Insert Customer No. or Attach Bar Code Label here)</span> or		
	<input checked="" type="checkbox"/> Correspondence Address Below		
NAME	<u>Darren J. Milliken Reg. 42,004</u>  <u>BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP</u>		
ADDRESS	<u>12400 Wilshire Boulevard</u> <u>Seventh Floor</u>		
CITY	<u>Los Angeles</u>	STATE	<u>California</u>
ZIP CODE	<u>90025-1026</u>		
Country	<u>U.S.A.</u>	TELEPHONE	<u>(408) 720-8300</u>
		FAX	<u>(408) 720-9397</u>

**FEE TRANSMITTAL FOR FY 1999****TOTAL AMOUNT OF PAYMENT (\$)** 1,120.00**Complete If Known:**Application No. UnassignedFiling Date November 8, 1999First Named Inventor Ebrahim Andideh et al.Group Art Unit UnassignedExaminer Name UnassignedAttorney Docket No. 042390.P3955D**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

 Check Money Order Other**FEE CALCULATION****1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	760	201	380
106	310	206	155
107	480	207	240
108	760	208	380
114	150	214	75
		Utility application filing fee	<u>760.00</u>
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____

**SUBTOTAL (1) \$ 760.00****2. EXTRA CLAIM FEES**

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u>27</u>	<u>- 20** = 7</u>	<u>X 18.00</u>	<u>= 126.00</u>
Independent Claims <u>6</u>	<u>- 3** = 3</u>	<u>X 78.00</u>	<u>= 234.00</u>
Multiple Dependent			_____

\*\*Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	(\$)	Code
103	18	203
102	78	202
104	260	204
109	78	209
110	18	210
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue Independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$ 360.00**

06/08/99

- 1 -

PTO/SB/17 (12-98)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

See Forms PTO/SB/09-12

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<b>Large Entity</b>		<b>Small Entity</b>			
<b>Fee</b>	<b>Fee</b>	<b>Fee</b>	<b>Fee</b>	<b>Fee Description</b>	<b>Fee Paid</b>
<b>Code</b>	<b>(\$)</b>	<b>Code</b>	<b>(\$)</b>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,360	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	For filling a submission after final rejection (see 37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	

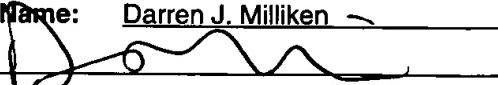
Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 0**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name:	Darren J. Milliken
Signature	
Date	11/8/99
Reg. Number	42,004
Deposit Account User ID	(complete if applicable)

JCS75 U.S. PRO  
09/436092  
11/08/99



### EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL388636027US

Date of Deposit: November 8, 1999

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Beatrice Oropco

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

November 8, 1999  
(Date signed)

*EL388636027US*

Serial/Patent No.: \*\*\*\*\* Filing/Issue Date: November 8, 1999

Client: Intel Corporation

Title: POLISH PAD WITH NON-UNIFORM GROOVE DEPTH TO IMPROVE WAFER POLISH RATE UNIFORMITY

BSTZ File No.: 042390.P3955D

Date Mailed: November 8, 1999

Atty/Secty Initials: DJM/blo

Docket Due Date:

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.)                                     | <input checked="" type="checkbox"/> Express Mail No. <u>EL388636027US</u>               | <input checked="" type="checkbox"/> Check No. <u>31481</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (triplicate)                              | <input type="checkbox"/> Month(s) Extension of Time                                     | Amt: <u>\$1,120.00</u>                                     |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)         | <input checked="" type="checkbox"/> Information Disclosure Statement & PTO-149 (3 pgs.) | <input type="checkbox"/> Check No. _____                   |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                | <input type="checkbox"/> Issue Fee Transmittal  | Amt: _____   |
| <input checked="" type="checkbox"/> Application - Rule 1.53(b) Divisional (28 pgs.)         | <input type="checkbox"/> Notice of Appeal   |  |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                         | <input type="checkbox"/> Petition for Extension of Time                                 |  |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)             | <input type="checkbox"/> Petition for _____   |  |
| <input type="checkbox"/> Application - Design (____ pgs.)                                   | <input checked="" type="checkbox"/> Postcard  |  |
| <input type="checkbox"/> Application - PCT (____ pgs.)                                      | <input type="checkbox"/> Power of Attorney (____ pgs.)                                  |  |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                              | <input type="checkbox"/> Preliminary Amendment (2 pgs.)                                 |  |
| <input type="checkbox"/> Assignment and Cover Sheet   | <input type="checkbox"/> Reply Brief (____ pgs.)  |  |
| <input type="checkbox"/> Certificate of Mailing   | <input type="checkbox"/> Response to Notice of Missing Parts                            |  |
| <input checked="" type="checkbox"/> Declaration & POA (5 pgs.)                              | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business    |  |
| <input type="checkbox"/> Disclosure Docu & Org & Copy of Inventor Signed Letter (____ pgs.) | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate                    |  |
| <input checked="" type="checkbox"/> Drawings: 5 # of sheets includes 10 figures             | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate                       |  |

Other: Copy of postcard with Express Mail label;  
Cited references (2)